# Center for Surveillance, Epidemiology, and Laboratory Services Division of Health Informatics and Surveillance





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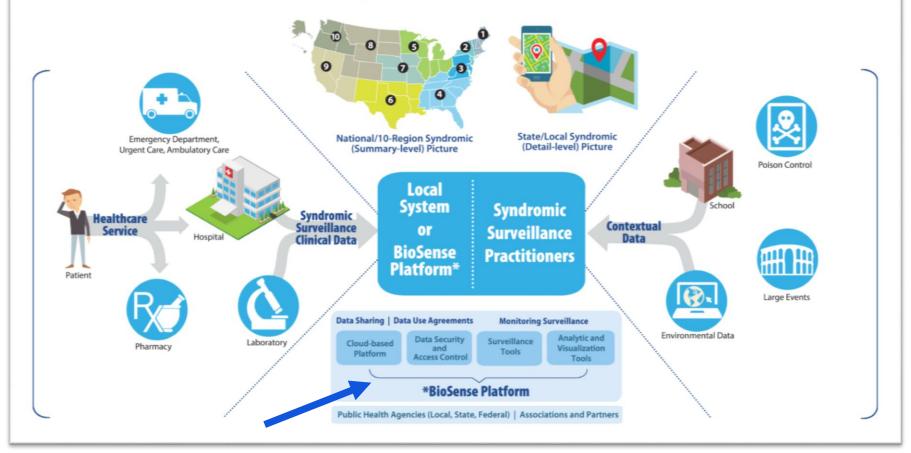


# Orientation to **Data Flow and Data Quality**

Data Ingestion into the BioSense Platform and Data Quality Checks and Reports

Analytic Data Management Team

#### **Public Health Syndromic Surveillance Data Flow**



#### **Overview**

- Data Flow
- Data Elements
- Foundational Data Quality (DQ)
- Deeper Dive DQ Review of Data Content

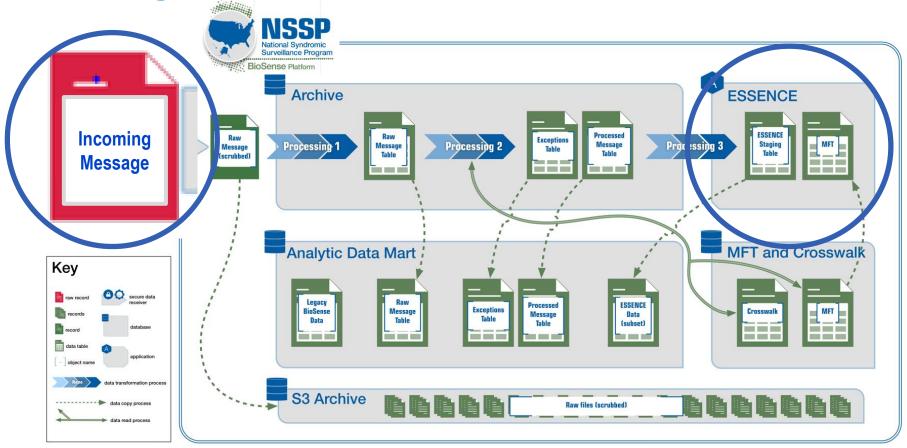
#### **Overview**

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#### **BioSense Platform Data Flow Design**

- Ingest syndromic surveillance data
- Remove Personally Identifiable Information (PII) from targeted message segments
  - The BioSense Platform will scrub incoming data to remove PII from these segments
- Separate servers for analytic data marts to optimize transactional data processing

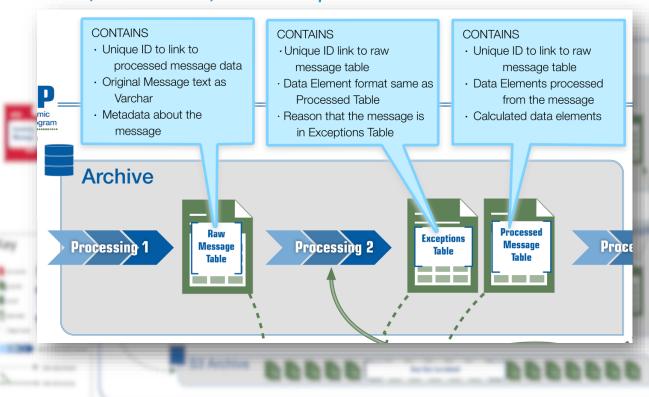
## **Incoming Data from Jurisdictions**



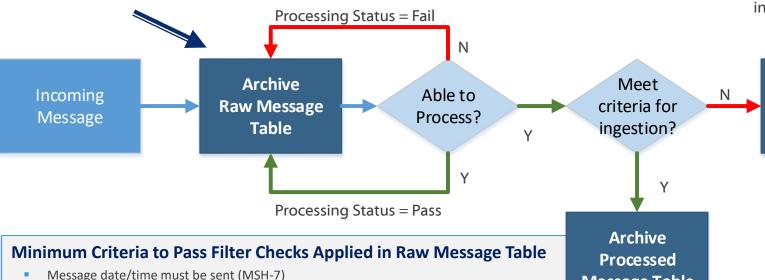
# Processing: Transform Data for Storage in BioSense Platform Archive—Raw, Processed, and Exceptions Tables

#### Design Considerations:

- One database table per site to allow for faster processing
- Data indexed to optimize processing



## Filtering of Messages – Raw Message Table



Include Frror Code to indicate why message was placed into exceptions table

> **Archive Exceptions Table**

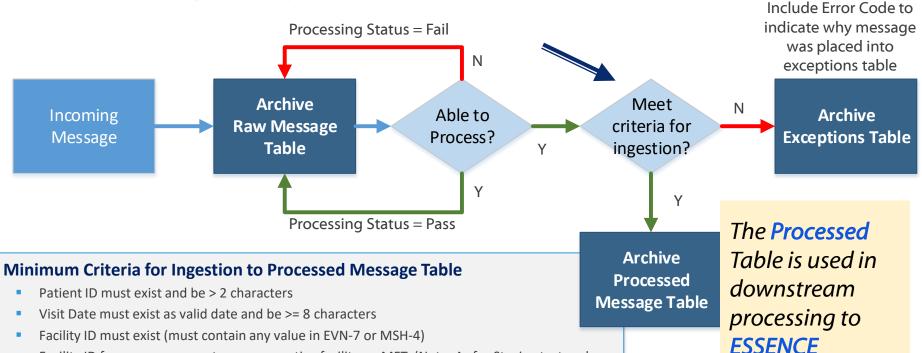
Message Table

- Message must be an ADT message (MSH-9.1=ADT)
- Sending Facility must be sent (MSH-4.2 or MSH-4.1)

If all criteria "pass," the message status in the raw message table is set to "New," and processing to the Archive Processed Message Table can begin. Once processing is complete, the status is set to "Read."

If one or more criteria "fail," the message status is set to "Filtered," and no further processing takes place.

#### **Processing Exceptions**



Facility ID from message must appear as active facility on MFT (Note: As for Staging tests, when facility status is set to Onboarding, data will successfully process to the Staging Archive data)

If one or more criteria "fail," the record is triaged to the Exceptions table and no further

If all criteria "pass," the record is stored in the main Processed table

processing takes place

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# **Tables in ARCHIVE Database (Staging Data)**

Use staging tables to review data during onboarding testing:

Table Name	Description
XX_ST_Raw	Contains original message delivered to BioSense Platform and some metadata about that message. If message was filtered and designated invalid for syndromic surveillance, the Filter_Reason column will contain a code explaining why.
XX_ST_ Processed	Contains processed message received and calculated values built from elements. Table contains ONLY messages that meet minimum processing criteria. Incomplete or invalid messages will be sent to XX_ST_Except.
XX_ST_ Except	Contains messages that did NOT meet minimum criteria for processing (incomplete, invalid). (Note. Structure of the XX_ST_Except table is same as XX_ST_Processed table.) To understand why a message appears in XX_ST_Except table, you may want to join to the XX_ST_Except_Reason table.
XX_ST_ Except_Reasons	Contains message_ID and all reasons for placing record in the XX_ST_Exceptions table. To view exception code descriptive values, join to XX_ST_Except_Reason table.

### **Tables in the ARCHIVE Database (Production Data)**

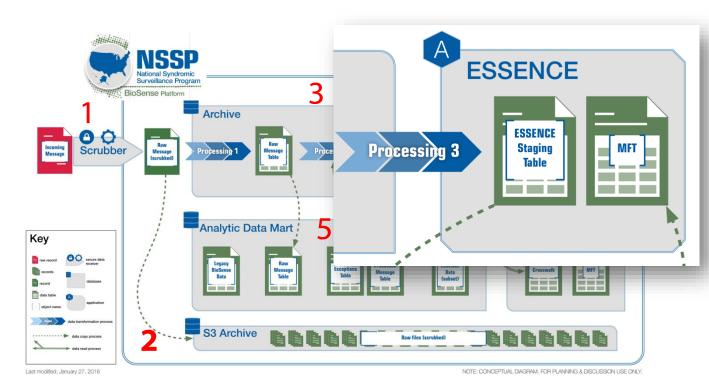
Once verified, your site's data will be available in production:

Table Name	Description
XX_PR_Raw	Table contains original message delivered to the BioSense Platform and some metadata about that message.
XX_PR_Processed	Contains processed message received and calculated values built from the elements.
XX_PR_Except	Contains messages that did NOT meet minimum criteria for processing.
XX_PR_ Except_Reasons	Contains message_ID and all reasons for placing record in the XX_PR_Except table.

Reference Tables	Description
Filter_Reasons	Table maps the Filter Reason Code found in the Raw table to its descriptive text.
· -	Table maps the Exception Reason Code found in the Except_Reasons table to its
Except_Reasons	·

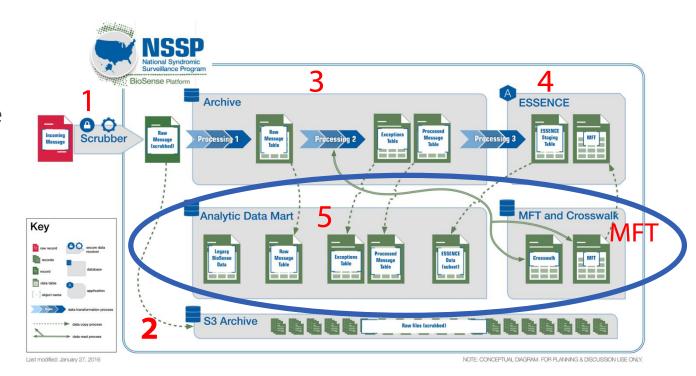
# **Interactive ESSENCE Application for Surveillance**

- Subset of fields from BioSense
   Platform archive
- Additional business rules applied as data are formatted and transformed for use with ESSENCE



## **Analytic Data Mart**

- Incremental replication of BioSense Platform ARCHIVE database
- DQ Reports based on Data Mart data
- Tools to access analytic data mart
  - ADMINER
  - RStudio Pro
  - SAS Studio



#### **Data Volume**

- 2.5 million HL7 messages a day
- Since January 2016
  - 1.1 billion records
  - 214 million visits
  - 104 million patients

-	2016-2017		20	2016		2017	
	Total	Avg Daily	Total	Avg Daily	Total	Avg Daily	
Days	730		365		365		
Sites	55		55		55		
Facilities	3,960		3,410		3,640		
Patients	103,460,557	141,727	52,196,285	143,004	64,815,996	177,578	
Visits	213,553,122	292,539	93,897,594	257,254	119,655,528	327,823	
		1,570,54					
Records	1,146,494,535	0	465,386,915	1,275,033	681,107,620	1,866,048	

- Average daily volume estimates
  - 1.9 million records
  - 328,000 visits
  - 178,000 patients

#### **Facilities**

- 3,960 facilities across 55 sites
- 93% registered under a single facility type
   (e.g., Emergency, Inpatient, Urgent Care, Outpatient)
- 70% registered as Emergency facility type
  - 92% registered as a single facility type
  - 87% of visits reported as an Emergency visit

#### **Overview**

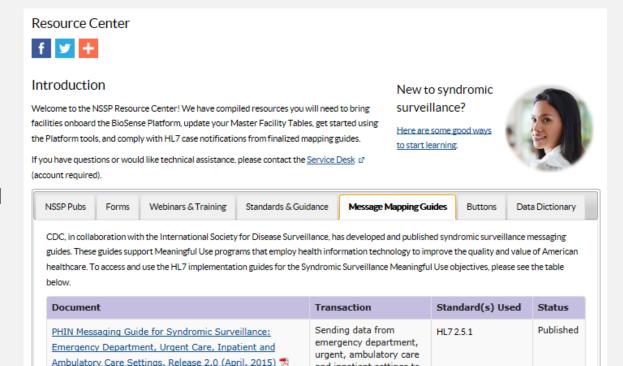
- Data Flow
- Data Elements
- Foundational Data Quality (DQ)
- Deeper Dive DQ Review of Data Content

### **Guiding Principles for Defining Data Elements**

- Load all syndromic surveillance core data elements from the PHIN Messaging Guide for Syndromic Surveillance: Emergency Department, Urgent Care, Inpatient and Ambulatory Care Settings, Release 2.0 (April 2015)
  - Legacy guides were considered when defining processing and incorporated where appropriate
- Enhance analytic capability
  - Identify new elements from a syndromic surveillance message
  - Define new processed elements (Calculated Fields)
- Ensure data elements follow a consistent naming convention
- Store all instances of repeating data (repeating segments or repeating fields)
  - Separator is a semicolon ;

## PHIN Messaging Guide (see NSSP Resource Center)

- A collaborative effort is in progress to update the guide.
- The guide standardizes core data elements and messaging specifications for syndromic surveillance.



and inpatient settings to

#### **Data Dictionary**

- Provides details about data elements stored n the NSSP data tables.
- No password required to access!

#### Resource Center







#### Introduction

NSSP Pubs

Welcome to the NSSP Resource Center! We have compiled resources you will need to bring facilities onboard the BioSense Platform, update your Master Facility Tables, get started using the Platform tools, and comply with HL7 case notifications from finalized mapping guides.

If you have questions or would like technical assistance, please contact the Service Desk @ (account required).

Webinars & Training

Standards & Guidance

New to syndromic surveillance?

Here are some good ways to start learning.



Data Dictionary

Message Mapping Guides The Data Dictionary provides details on data elements stored in NSSP data tables. The ArchiveProcessed table worksheet—one of six worksheets in this document-links to the Public Health Information Network Vocabulary Access and Distribution System (PHIN VADS) website for specific data elements associated with a standard. PHIN VADS is a web-based enterprise vocabulary system for accessing, searching, and distributing vocabularies used in public health and clinical care practice. It promotes the use of standards-based vocabulary to support the exchange

No password is required to access document.

of consistent information among public health partners.

Forms

Access the NSSP Data Dictionary.



Buttons

# **BioSense Platform Archive Processing Guidelines** *Coded Elements*

- Processing segments of coded elements:
  - Store codes in column named X\_Code
  - Store code descriptions in column named X\_Description
  - Store entire segment in column named X\_Segment
  - Store combination of code and description in column named
     X Combo (concatenate codes with their respective descriptions as sent in a message)

X refers to data element name

For example: Admit\_Reason\_**Code**, Admit\_Reason\_**Description**, Admit\_Reason\_**Segment**, Admit\_Reason\_**Combo** 

# BioSense Platform Archive Processing Guidelines Calculated Fields (Prefixed with "C\_")

If element is calculated or created during processing:

- Store contributing elements separately
- Store source field(s) contributing to calculated value

#### Example:

Variable **C\_Var** is calculated based on variables **Var1**, **Var2**, **Var3** 

#### *Archive example:*

C\_Visit\_Date=05/01/2016 C\_Visit\_Date\_Source=Admit

Element	Value	Logic
Var1	Null	Direct Input
Var2	Α	Direct Input
Var3	В	Direct Input
C_Var	Α	Select first non-null value from Var1, Var2, and Var3
C_Var_Source	Var2	Source of C_Var

#### **BioSense Platform Archive Data Elements**

- NSSP Data Dictionary available at NSSP Resource Center
  - Contains full list of proposed data elements and processing for HL7 Archive
- Complex Data Element Requirements
  - Chief Complaint
  - Calculated Chief Complaint
  - Calculated Patient Age
  - Calculated Death Indicator
  - Calculated Patient Class
  - Calculated Facility ID (Sending or Treating) and BioSense "agnostic" Facility ID
  - Processed Facility ID
  - Unique Patient ID and Medical Record Number
  - Visit Date/Time and Visit Date
  - Unique Visit ID

#### Unique Visit ID in NSSP: C\_BIOSENSE\_ID

- Uniquely defines "a visit"
- Copied to ESSENCEID column in ESSENCE tables
- Calculated field defined as follows:

#### Concatenation of

- C\_Visit\_Date (When)
- C\_Biosense\_Facility\_ID (Where)
- C\_Unique\_Patient\_ID (Who)
- Each "seed" in this calculation is also a calculated field ("C\_")

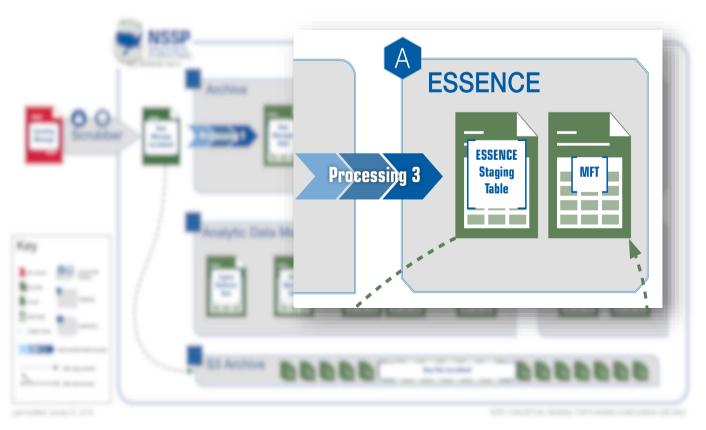
**Processing** clarification: If a patient visits a facility twice on the same day, messages for both interactions will be associated with the same C BioSense ID.

### **Calculated Chief Complaint:** C\_Chief\_Complaint

- Hierarchically defined as the first non-null value from...
  - Chief\_Complaint\_Text (reported in TX or CWE data type)
  - Admit Reason Description

Information on other calculated columns is available in Data Elements Appendix Slides.

#### **Data Flow from Archive Processed to ESSENCE**



#### **Sending Data to ESSENCE** (continued)

- Guiding principles for selecting data to send to ESSENCE
  - Core elements in PHIN Guide for Syndromic Surveillance
  - Elements of analytic importance
  - Elements required to support ESSENCE processing
  - Time stamp information

Where possible, column names in the Archive and in ESSENCE are the same

Archive Processed Column	ESSENCE Column
C_Facility_ID	Hospital
C_Chief_Complaint	ChiefcomplaintOrig (ChiefcomplaintParsed is used in "binning")
Diagnosis_Code	DischargeDiagnosis
Discharge_Disposition_Code	DischargeDisposition

#### **ESSENCE Ingestion Processing: Staging Table to Base Table**

- ESSENCE processes data to...
  - Collapse messages into a single "visit" (using same algorithm to identify a visit as the Archive column C\_BioSense\_ID)
  - Associate visits with specified syndromes and sub-syndromes via Chief Complaint binning
  - Support web-based queries
- For each visit, ESSENCE populates a column with info present in last message processed for that visit, with some exceptions: Special rules are applied to Patient Class, Chief Complaint, Discharge Disposition, and Discharge Diagnosis.
  - Process uses "First non-NULL value" or "Last non-NULL value"
  - Companion history fields store info across all messages constituting a single visit

#### **ESSENCE Ingestion Processing: Staging Table to Base Table (cont.)**

ER\_Import\_Staging (ESSENCE Staging Table)

Considered as	. Message Date Time	C_Visit_Date_ Time	C_Unique_Patie nt_ID	Hospital (C_Facility_ID)	Sex	Age	Visit ID facility generated
the same visit	20160301 08:30:00:000	20160229 02:30:00:000	A12B3	001FACILITYID	F		1000
1	20160301 08:40:00:000	20160229 02:30:00:000	A12B3	001FACILITYID		40	1001
2	20160301 09:30:00:000	20160229 01:30:00:000	123456	001FACILITYID	M	22	2000

Collapse to
"Patient
Event"

#### ER\_Base (ESSENCE Base Table)

ESSENCEID (NSSP generated C_Biosense_ID)	MessageDateTime	C_Visit_Date_ Time	C_Unique_Patient_ID	Hospital (C_Facility_ID)	Sex	Age	Visit ID
20160229001FACILITYIDA12B3	20160301 08:40:00:000	20160229 02:30:00:000	A12B3	001FACILITYID		40	1001
20160229001FACILITYID123456	20160301 09:30:00:000	20160229 01:30:00:000	123456	001FACILITYID	М	22	2000

#### Patient\_Class\_Code and C\_Patient\_Class

- Reported Patient class—although useful in analyses and data explorations, may <u>not</u> be present in all message submissions or may not adhere to <u>standard</u>
- Calculated Patient class—during processing, BioSense Platform creates Calculated
   Patient Class by using the following algorithm:
  - 1. Patient Class (PV1-2) if non-NULL and meets standard (e.g., "E" for Emergency)
  - 2. Apply special mapping to standardize specific "non-standard" values (e.g., "Emergency" sent instead of "E")
  - 3. Look up Inferred Patient Class from Facility Type reported in message if it is valid for syndromic surveillance
  - 4. Assign class value based on inferred patient class associated with primary MFT entry

Concept Code	Concept Name	Value
261QE0002X	Emergency Care [Ambulatory	Е
	Health Care Facilities\Clinic/Center]	
1021-5	Inpatient practice setting	I
261QM2500X	Medical Specialty [Ambulatory	0
	Health Care Facilities\Clinic/Center]	
261QP2300X	Primary Care [Ambulatory Health	0
	Care Facilities\Clinic/Center]	
261QU0200X	Urgent Care [Ambulatory Health	0
	Care Facilities\Clinic/Center]	

## **Example: Patient Class History Field**

ER Import Staging Table		
Message Order	PatientClass	
1	Е	
2	T	
3	Е	



ESSENCE Stored Data			
PatientClass	Patient Class Updates	PatientClassList	
Е	{1};E;{2};I;{3};E;	El	

ESSENCE Screen Display				
Patient_Class	Patient Class Updates	PatientClassList		
Е	{1};E;{2};I;{3};E;	Emergency, Inpatient		



- Note the label for PatientClass reads Patient\_Class in ESSENCE
- PatientClass is the same as Patient\_Class\_code



Descriptive "formatted" value of "EI"

#### ESSENCE – Data Details Formatted Display

Patient Class	<u>PatientClassUpdates</u>	<u>PatientClassList</u>	C Patient Class	C Patient Class Updates	C Patient Class List
E	{1};E;	Emergency	Е	{1};E;	Emergency
I	{1};E; {2};I; {3};E; {4};I; {5};E; {6};I;	Emergency, Inpatient	I	{1};E; {2};I; {3};E; {4};I; {5};E; {6};I;	Emergency, Inpatient
I	{1};I;	Inpatient	I	{1};I;	Inpatient
I	{1};E; {2};I; {3};E; {4};I; {5};E; {6};I;	Emergency, Inpatient	I	{1};E; {2};I; {3};E; {4};I; {5};E; {6};I;	Emergency, Inpatient

**Example of underlying unformatted data:** 

patient_class_code	patientclass	patientclasslist	patientclassupdates	c_patient_class	c_patient_class_list	c_patient_class_updates
1	I	El	{1};E; {2}; ; {3};E; {4}; ; {5};E; {6}; ; {7};E	1	El	{1};E; {2}; ; {3};E; {4}; ; {5};E; {6}; ; {7};E

#### Standard patient class values other than E, I, O

#### **ESSENCE** Data Details

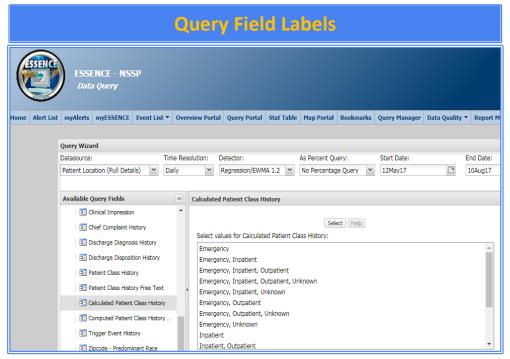
Patient Class Code	Patient Class	<u>PatientClassUpdates</u>	<u>PatientClassList</u>	C Patient Class	<u>C Patient Class Updates</u>	C Patient Class List
v	V	{1};E; {2};V;	EV	V	{1};E; {2};V;	EV
v	v	{1};E; {2};V;	EV	V	{1};E; {2};V;	EV

- Standard Patient Class Code "E" is "Emergency"
- Standard Patient Class Code "V" is "Observation

Formatted patient class (in "list" columns) is based on patient class combinations that include E, I, O. If something other than E, I, O is reported in combination (standard or nonstandard), the formatted "list" column will NOT be formatted. Rather, the raw value is displayed.

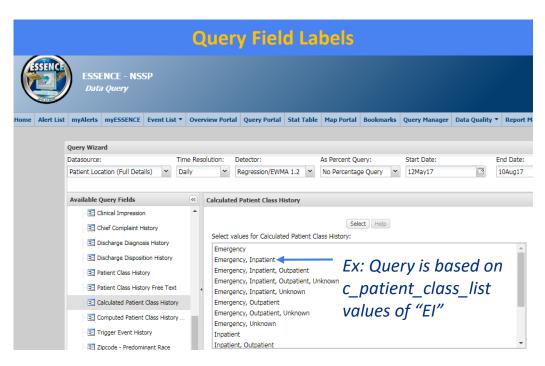
Here, although "E" was reported, "V" was also reported. "EV" is NOT a permutation of "E, I, O" combinations and is NOT mapped to a descriptive value.

#### **ESSENCE** Query Fields



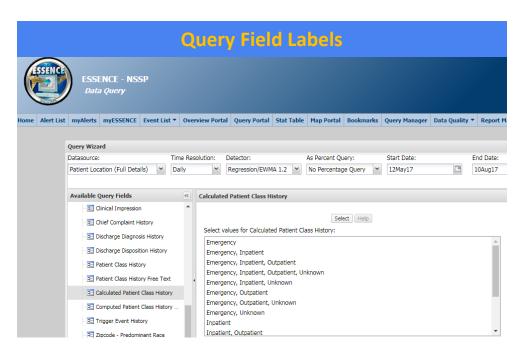
Query Field Label	Field being queried from underlying data		
Patient Class	PatientClass - Last non-null Patient Class value (Note label in data details reads Patient_Class)		
Calculated Patient Class	C_Patient_Class - Last non- null Calculated Patient Class		
Patient Class History (standard "drop down" option)	PatientClassList		
Patient Class History Free Text (free-text option)	PatientClassList		
Calculated Patient Class History	C_Patient_Class_List		
Computed Patient Class History	C_Patient_Class_Updates		

#### **ESSENCE** Query Fields



- Formatted display of E, I, O combinations are reflected in "drop downs."
- Query for underlying data is based on "raw" values. For example: "Emergency, Input" drop-down selection will pull visits with "EI."
- Data with combinations other than E, I, O will NOT pass query.
- Make use of "HasBeen" flags now in place.

#### Impact of Standard Patient Class Values Other Than E, I, O



#### **ESSENCE** Data Details

Patient Class Code	Patient Class	<u>PatientClassUpdates</u>	PatientClassList	C Patient Class	C Patient Class Updates	C Patient Class List
v	v	{1};E; {2};V;	EV	v	{1};E; {2};V;	EV
v	v	{1};E; {2};V;	EV	v	{1};E; {2};V;	EV
v	v	{1};E; {2};V;	EV	v	{1};E; {2};V;	EV
v	v	{1};E; {2};V;	EV	v	{1};E; {2};V;	EV
v	v	{1};E; {2};I; {3};V;	EIV	V	{1};E; {2};I; {3};V;	EIV

These visits would NOT pass query selection via "drop-down" selection.

# Calculated Patient Class "HasBeen" Binary Flags

- Leverage the "pristine" Calculated Patient Class (contains standard values only)
- Scan list of standard values stored in Calculated Patient Class and create "Ever in" columns:
  - If "E" is found, "Ever in Emergency" (HasBeenE) is set to 1 (True)
  - If "I" is found, "Ever in Inpatient" (HasBeenI) is set to 1 (True)
  - If "O" is found, "Ever in Outpatient" (HasBeenO) is set to 1 (True)
- Incorporate "Ever in" flags as part of cubes to enhance query speed
- Result: User may query on "Ever in Emergency" (for example) to hone in on ED visits regardless of various combinations of patient classes reported for visit

# **Calculated Patient Class "HasBeen" Flags**

## Combinations of E, I, O

Patient Class	<u>PatientClassUpdates</u>	<u>PatientClassList</u>	C Patient Class	C Patient Class Updates	C Patient Class List
E	{1};E;	Emergency	Е	{1};E;	Emergency
I	{1};E; {2};I; {3};E; {4};I; {5};E; {6};I;	Emergency, Inpatient	I	{1};E; {2};I; {3};E; {4};I; {5};E; {6};I;	Emergency, Inpatient
I	{1};I;	Inpatient	I	{1};I;	Inpatient
I	{1};E; {2};I; {3};E; {4};I; {5};E; {6};I;	Emergency, Inpatient	I	{1};E; {2};I; {3};E; {4};I; {5};E; {6};I;	Emergency, Inpatient

### **Example of underlying unformatted data:**

patient_class_code pa	atientclass pat	tientclasslist	patientclassupdates	c_patient_class	c_patient_class_list	c_patient_class_updates
1 1	El	I	{1};E; {2}; ; {3};E; {4}; ; {5};E; {6}; ; {7};E	1	El	$\label{eq:continuity} $$\{1\};E;K2\};I;K3\};E;K4\};I;K5\};E;K6\};I;K7\};E$

1				-
	HasBeenE	HasBeenl	HasBeenO	
	1	1	. 0	)

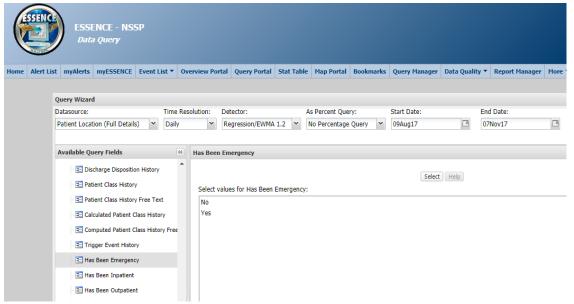
# Calculated Patient Class "HasBeen" Flags

## Combinations Other Than E, I, O

Patient Class Code	Patient Class	<u>PatientClassUpdates</u>	PatientClassList	C Patient Class	C Patient Class Updates	C Patient Class List	
v	v	{1};E; {2};V;	EV	V	{1};E; {2};V;	EV	-
V	v	{1};E; {2};V;	EV	v	{1};E; {2};V;	EV	

HasBeenE	HasBeenl	HasBeenO
1	0	0

# Calculated Patient Class "HasBeen" Flags



	Calculated Patient Class History	C_Patient_Class_List
	Computed Patient Class History	C_Patient_Class_Updates
CE.	"Ever in" Emergency, Inpatient, Outpatient	HasBeenE, HasBeenI, HasBeenO

**Query Field Label** 

**Calculated Patient Class** 

"drop down" option)

(free text option)

Patient Class History (standard

Patient Class History Free Text

**Patient Class** 

"HasBeen" selection now available in ESSENCE.

Field being gueried

from underlying

PatientClass - Last non-null

C\_Patient\_Class - Last nonnull Calculated Patient

Patient Class value (Note

label in data details reads

Patient\_Class)

PatientClassList

PatientClassList

data

Class

# **Special Rule:** Chief Complaint

- First reported, non-null value for Chief Complaint
- History fields "retain the history" and provide
  - De-duplicated, ordered list of all Chief Complaint values sent across messages for a single visit
  - Time stamp to document when fields were last updated

Various chief complaint fields in ESSENCE are populated based on the NSSP Calculated Chief Complaint (C\_Chief\_Complaint)

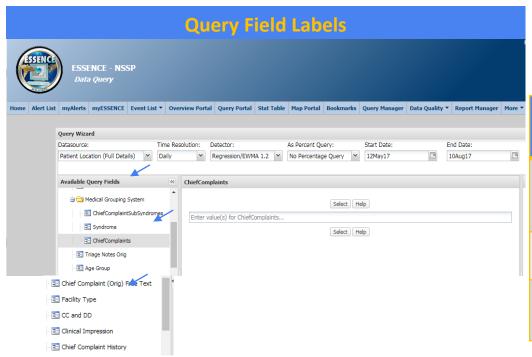
# **Example: Chief Complaint History Field**

ER Import Staging Table				
Message Number	ChiefComplaintOrig			
1	My Original Chief Complaint			
2	Brief Chief Complaint			



ER Base Table				
ChiefComplaintOrig	ChiefComplaintUpdates			
My Original Chief Complaint	{1};My Original Chief Complaint; {2};Brief Chief Complaint;			

# **Chief Complaint** *ESSENCE Query Fields*



Underlying Data						
ChiefComplaintOriq	<u>ChiefComplaintParsed</u>	<u>ChiefComplaintUpdates</u>				
heart palp	HEART PALPITATIONS	{1};heart palp; {2};heart palp;Rapid Heart Rate;				
Flank Pain	FLANK PAIN	{1};; {2};Flank Pain;				
Fever	FEVER	{1};; {2};Fever;				

Query Field Label	Chief Complaint Columns in ESSENCE	Description
Chief Complaint (Orig) Free Text	Chief Complaint Orig	First non-null value of the incoming NSSP calculated chief complaint (c_chief_complaint)
ChiefComplaints	ChiefComplaintParsed	Parsed version of the original first non-null chief complaint
Chief Complaint History	ChiefComplaintUpdates	De-duplicated and concatenated list of the original chief complaints reported for a visit

# **Special Rule:** Discharge Diagnosis

- Last reported, non-null value for Discharge Diagnosis
- History fields retain "the history" and provide
  - De-duplicated, ordered list of all Discharge Diagnosis values sent across messages for a single visit
  - Time stamp to document the last time the fields were updated

DischargeDiagnosis in ESSENCE is populated from Diagnosis\_Code (DG1) in the NSSP Archive.

# Discharge Diagnosis, Chief Complaint, and CCDD

#### **ESSENCE** Data Details

ChiefComplaintOrig	ChiefComplaintParsed	ChiefComplaintUpdates	<u>Discharge Diagnosis</u>	<u>DischargeDiagnosisUpdates</u>	CCDD
heart palp	HEART PALPITATIONS	{1};heart palp; {2};heart palp;Rapid Heart Rate;	;R00.2;R94.31;R00.2;R94.31	{1};; {2};;R00.2;R00.2;R94.31; {3};;R00.2;R94.31;R00.2;R94.31;	HEART PALPITATIONS   ;R00.2;R94.31;R00.2;R94.31
Flank Pain	FLANK PAIN	{1};; {2};Flank Pain;	;N12;A41.9;N12;A41.9	{1};; {2};;N12; {3};;N12;N12;A41.9; {4};;N12;A41.9;N12;A41.9;	FLANK PAIN   ;N12;A41.9;N12;A41.9
				(4)	

#### Diagnosis Combo

R00.2 Palpitations; R94.31 Abnormal electrocardiogram (ECG) (EKG); R00.2 Palpitations; R94.31 Abnormal electrocardiogram (ECG) (EKG)

N12 Tubulo-interstitial nephritis, not specified as acute or chronic; A41.9 Sepsis, unspecified organism; N12 Tubulo-interstitial nephritis, not specified as acute or chronic; A41.9 Sepsis, unspecified organism

DischargeDiagnosis is appended to ChiefComplaintParsed to create analysis variable "CCDD" in ESSENCE. In NSSP, diagnosis code and diagnosis description are "married" and represented in "Diagnosis\_Combo."

# **Special Rule:** Discharge Disposition

- Last reported, non-null value for Discharge Disposition
- History fields retain "the history" and provide
  - De-duplicated, ordered list of all Discharge Disposition values sent across messages for a single visit
  - Timestamp to document the last time the fields were updated

Discharge Disposition in ESSENCE is populated from Discharge Disposition (PV1-36) in Archive.

# **Special Mapping:** Discharge Disposition

- <u>Standard</u> value set support Discharge Disposition
- Data are often not adhering to the standard
- Discharge Disposition Category is populated based on an ESSENCE specific mapping table to map standard and nonstandard values to an ESSENCE discharge disposition category

Disposition value of "09" is standard for Admit; however, data may come through with variations.

ESSENCE mappings attempt to address nuances with disposition data.

Dispo	sition		¥	Categor
Α				ADMIT
ADM	IN			ADMIT
Admi	t			ADMIT
Admi	Cardiac T	ele		ADMIT
Admi	Critical C	are		ADMIT
Admi	ER Observ	ation		ADMIT
Admi	Hold			ADMIT
09				ADMIT
Admi	IMCU			ADMIT
Admi	M/S			ADMIT

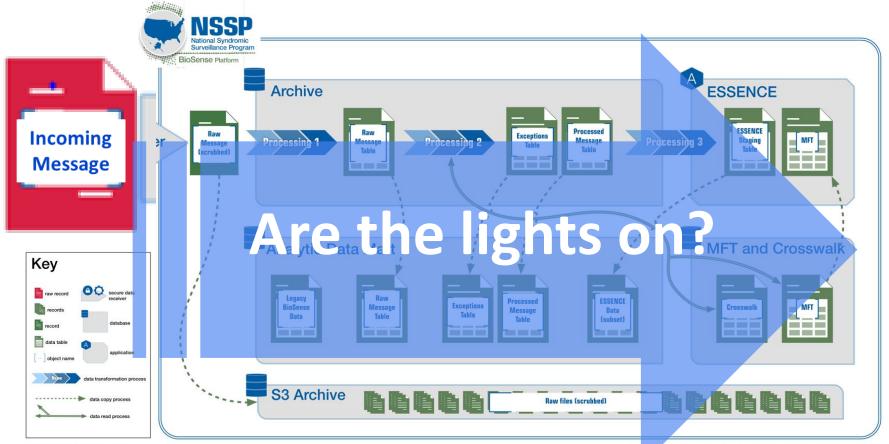
## **Recap: BioSense Platform Data Flow Goals**

- Enable public health officials to conduct syndromic surveillance more systematically and collaboratively
- Provide access to data delivered to BioSense Platform
  - HL7 messages
  - ASCII converted to HL7 messages
- Provide access to stable structured databases reflecting processed
   HL7 messages
- Prime data with various calculated fields to allow flexibility in analysis approaches regardless of analytic tool being used
- Make data complete, useful, and actionable

## **Overview**

- Data Flow
- Data Elements
- Foundational Data Quality (DQ)
- Deeper Dive DQ Review of Data Content

# **Data Flow/Volume Checks**



Last modified: January 27, 2016

# **Incoming Data to ARCHIVE Data Flow Checks by Site**

#### **Automatic Alerts**

- Volume discrepancies from one "data stop" to the next
- Processing lag time more than 24 hours from one "data stop" to the next
- High percent of
  - Filtered
  - Excepted

#### Action

- Generate auto-emails to internal team
- Determine root of the problem
- Alert and engage site as appropriate

# "Lights On" Report





Thu 9/14/2017 6:33 AM

BioSense Platform <br/> <br/> biosenseplatform@syndromicsurveillance.org>

BioSense Lights On Report - 2017-09-14

Archive 9/14/2019

#### BioSense "Lights on" Daily Report 2017-09-14

This email consists of the following reports. Please click on the links to navigate to the appropriate information:

- 1.1 Feeds with no recent data
- 1.2 Filtered Records in last 24 hours
- 2.1 Production Data Flow Backlog Record Count Aggregate
- 2.2 Production Data Flow Backlog Record Count By Site
- 3.1 Exceptioned Data in the last 24 hours

### **Overview**

- Data Flow
- Data Elements
- Foundational Data Quality (DQ)
- Deeper Dive DQ Review of Data Content

# **Data Quality Reports: Starting Point**

- Beta reports developed for
  - Timeliness
  - Completeness
  - Validity
- Production data reports are run monthly
- Staging data (in test) are run nightly
- Reports are posted to the NSSP shared folder for viewing by CDC users with access permissions from designated site(s)

# Data Quality Assurance (QA) Reports: Intent

- Standardize reports across sites for internal operational QA
- Identify potential issues with processing and incoming data and, as needed, investigate further to get to the root of the problem
- Support sites that lack sufficient QA resources
- Work with the community to refine reports

Reports neither supplant QA work being done by sites that have well-established QA processes nor are intended to be the only QA work performed on data.

# **Data Quality Reports**

- Timeliness
- Completeness
- Validity

### **Timeliness**

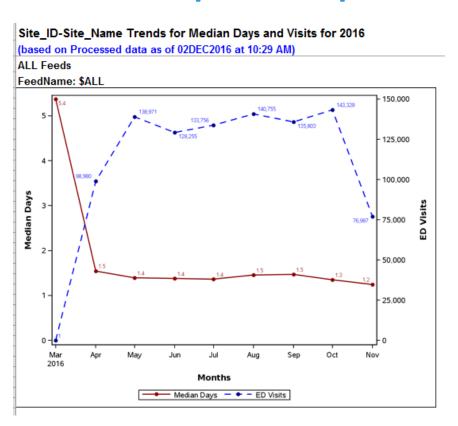
## How long does it take the data to arrive on the platform?

- Lag time is measured from "date/time of the visit" to "date/time the first message arrived" on the BioSense Platform
- Subsequent messages for same visit are NOT considered to avoid skewing the results
- Reports include graphs and tables
- Metrics are for 24 hours and 48 hours

# **Timeliness: Report Set**

- Graphs include
  - Visit counts
  - Median number of days from visit to arrival over time
- Summary Tables include Timeliness Performance Categories
  - 0 − <30% of visits arriving within 24 hours; within 48 hours</li>
  - -30 < 80% of visits
  - > 80% of visits
- Detail Tables include
  - Timeliness Performance Categories
  - Mean/median number of lag days
  - Lag days associated with >80% of visits

# **Example: Timeliness Reports Graphs**



# **Example of Timeliness Reports Summary Table**

Centers for Disease Control and Prevention

Timeliness Report Year: 2016

Based On Processed Data Selected By Arrived Date from 2016-01-01 to 2017-04-30

Run On 23APR2017 at 2:10 PM

Timeliness Categorization Summary: Site ID-Site Name

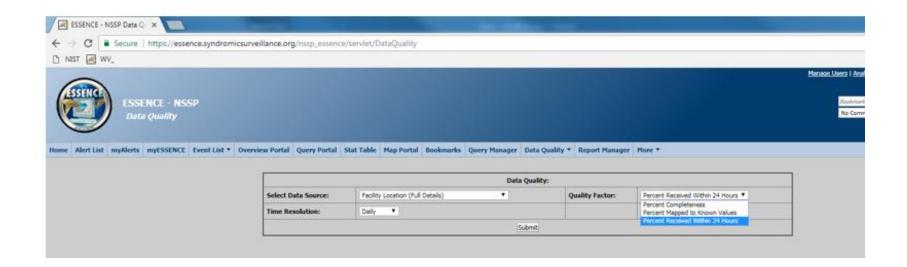
	Within 1 day							Within 2 days							
Timeliness				Cumulative		Percentage	Cumulative Percentage			Cumulative Number		_	Cumulative Percentage		
Perfo	Performance		Number of		Number of		of	of	of		of	c	of	of	
Categorization		zation Facilities		Facilities		Facilities	Facilities	Facilities		Facilities	-		Facilities		
(%)		▼	(N)	₩.	(N)	₹	(%)	(%)	(N)		(N)		(%) ▼	(%)	
	(	00-30		9		9	11.538%	11.538%	C	0	0	Ī	0.0%	0.0%	
		30-80		38	38 47		48.718%	60.256%	19		19		24.359%	24.359%	
		>80		31		78	39.744%	100.0%	59	9	78		75.641%	100.0%	

Basis by which the total percentage of visits for a facility was grouped among three ranges (0%-30%, 30%-80%, >=80%)

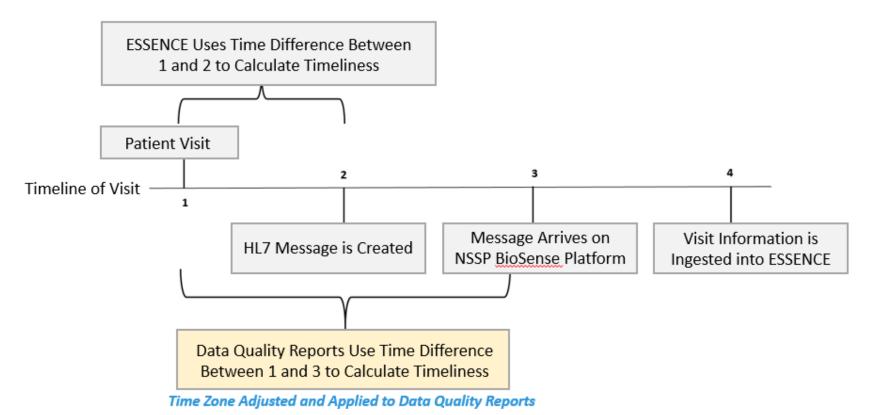
- A. Red->Low Performance: Percentage of visits received is between 0% and 30%
- B. Yellow->Intermediate Performance: Percentage of visits received is between 30% and 80%
- C. Green ->High Performance: Percentage of visits received is above 80%

# **ESSENCE Data Quality Reports**

# Based on "Collapsed" Visits

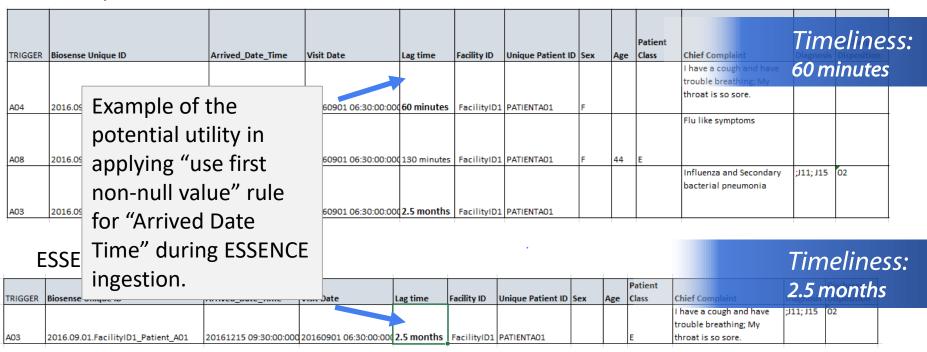


# **Difference in Timeliness Reports**



# **Example: Difference in Timeliness ARCHIVE Data DQ Reports and ESSENCE**

#### **DQ** Reports



# **Data Quality Reports**

- Timeliness
- Completeness
- Validity

## Completeness

## *Are data populated?*

- Consider all records associated with a unique patient visit (assesses incoming data and <u>not</u> the downstream process)
- Determine if a data element for a unique patient visit is complete based on whether records (for the visit) carried data for that data element
- Mark as complete on basis of findings across records
- Calculate percent complete (for each data element) based on a visit-level denominator

Among all the facility's opportunities to send data for a unique patient visit data element, were those data actually sent for that visit?

# **Example of Completeness Reports: Data Elements**

Feed_Name=\$ALL										
						C_Facility_	D, Facility_Name			
						\$ALL	Feed_Name001	_	Feed_Name003	Feed_Name004
			- · ·			\$ALL	\$ALL		\$ALL	\$ALL
Processed Column	 PRI ~	Use Group	Required	√T HL7	-		% Visits		% Visits	% Visits
C_Facility_ID	1	Facility	CR	MSH-4.1		100.0	100.0	100.0		
C_Biosense_Facility_ID	1	Facility	CR	MSH-4.1		100.0		100.0		
C_Processed_Facility_ID	2	Facility	CR	MSH-4.1		100.0	100.0	100.0	100.0	10
C_Visit_Date	2	Visitdate	CR			100.0	100.0	100.0	100.0	10
C_Visit_Date_Time	1	Visitdate	CR	NA		100.0	100.0	100.0	100.0	10
C_FacType_Patient_Class	2	VisitInfo	CR	PV1.2.1		80.741	99.636	89.01	99.628	95.7
C_MFT_Patient_Class	2	VisitInfo	CR	PV1.2.1		100.0	100.0	100.0	100.0	10
C_Patient_Class	2	VisitInfo	CR	PV1.2.1		100.0	100.0	100.0	100.0	10
C_Death	2	VisitInfo	CRE	PID.30.1		100.0	100.0	100.0	100.0	10
C_Patient_Age	1	Demographics	CRE	OBX.5.1		99.951	99.999	99.933	100.0	10
C_Patient_Age_Years	2	Demographics	CRE	OBX.5.1		99.951	99.999	99.933	100.0	10
C_Patient_County	1	Demographics	CRE	PID-11.9		96.64	94.087	99.785	94.992	
C_Chief_Complaint	2	CC_Diagnostic	CRE	NA		97.966		99.803	96.479	10
Arrived_Date	2	Operations	CR	NA		100.0		100.0	100.0	
Arrived_Date_Time	1	Operations	CR	NA		100.0				

Drill-down example shows selection of "Required" elements calculated by NSSP (CR, CRE). Did NSSP process successfully yield data based on incoming data in Required type elements?

# **Example of Visit Data: Visit-level Completeness**

- Three records (messages) sent for a unique patient visit (Visit #1)
- Two records (messages) sent for a different unique patient visit (Visit #2)
- Some but not all of the records have data in various data elements

Visit #1

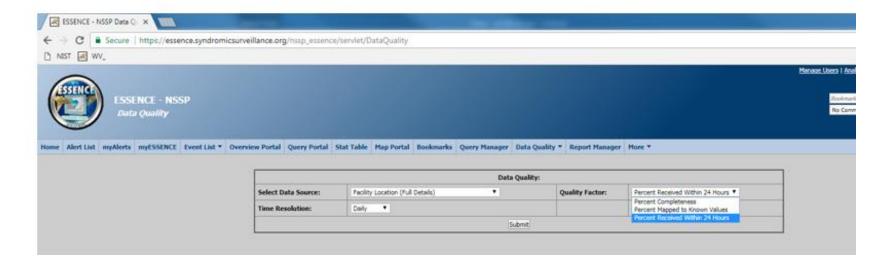
								Patient			Discharge
TRIGGER	Biosense Unique ID	Arrived_Date_Time	Visit Date	Facility ID	Unique Patient ID	Sex	Age	Class	Chief Complaint	Diagnosis	Disposition
									I have a cough		
									and have		
									trouble		
									breathing; My		
									throat is so sore.		
A04	2016.09.01.FacilityID1_Patient_A01	20160901 08:30:00:000	20160901 06:30:00:000	FacilityID1	PATIENTA01	efmale		01			
									Flu like		
A08	2016.09.01.FacilityID1_Patient_A01	20160901 08:40:00:000	20160901 06:30:00:000	FacilityID1	PATIENTA01	F	44	E	symptoms		
									Influenza and	;J11; J15	02
									Secondary		
402	2016 00 01 FacilityID1 Patient A01	20161215 00:20:00:000	20160001 06:20:00:000	Facility/D1	DATIENTA 01				bacterial		
A03	2016.09.01.FacilityID1_Patient_A01	20101213 09:30:00:000	20100901 00:30:00:000	FacilityID1	PATIENTAUL				pneumonia		
								Patient			Discharge
TRIGGER	Biosense Unique ID	Arrived_Date_Time	Visit Date	Facility ID	Unique Patient ID	Sex	Age	Class	Chief Complaint	Diagnosis	Disposition
A04	2016.09.01.FacilityID1_Patient_A02	20160901 08:30:00:000	20160901 06:30:00:000	FacilityID1	PATIENTA02			E	Chest Pain		

Visit #2

								Patient			Discharge
TRIGGER	Biosense Unique ID	Arrived_Date_Time	Visit Date	Facility ID	Unique Patient ID	Sex	Age	Class	<b>Chief Complaint</b>	Diagnosis	Disposition
A04	2016.09.01.FacilityID1_Patient_A02	20160901 08:30:00:000	20160901 06:30:00:000	FacilityID1	PATIENTA02			E	Chest Pain		
A08	2016.09.01.FacilityID1_Patient_A02	20160901 08:40:00:000	20160901 06:30:00:000	FacilityID1	PATIENTA02			E	Chest Pain		

# **ESSENCE Data Quality Reports**

# Based on "Collapsed" Visits



# **Difference in Completeness:** *ARCHIVE Data and ESSENCE*

- Data received in the most recent message is used to ingest into ESSENCE
- Exceptions include
  - Patient Class (last non-NULL)
  - Chief Complaint (first non-NULL)
  - Diagnosis (last non-NULL)
  - Discharge Disposition (last non-NULL)

## **Example: Difference in Completeness**

## ARCHIVE Data DQ Reports and ESSENCE

#### Data used in DQ Reports for Visit #1

Comp	lete	Biosense Unique ID		Arrived Date Time	Visit Date	Lag time	Facility ID	Unique Patient ID	Sex		Patient Class	Chief Complaint		Discharge Disposition
			Diagnosis	s, Discharge D								I have a cough and have trouble breathing; My throat is so sore.		
(Based o	<sup>A04</sup> n incoi	2016.09.01.FacilityID ming data)				60 minutes	FacilityID1	PATIENTA01	F			Flu like symptoms		
	A08	2016.09.01.FacilityII	Note. T	his example						14		Influenza and Secondary	p11; J15	02
	leveraging Chief Complaint History column (all CCs) in ESSENCE binning. RESP syndrome is met, but not ILI.								L		bacterial pneumonia			

#### Complete:

Patient Class, CC, Diagnosis, Discharge Diagnosis

*Incomplete:* 

Sex, Age

#### Data in ESSENCE for Visit #1

I have a cough and have ;J11; J15 02 trouble breathing; My	Facility ID	Unique Patient ID	Sex	Patient Class	Chief Complaint		Discharge Disposition	ESSENCE Syndrome
trouble breathing; My						;J11; J15	02	
					trouble breathing; My			
FacilityID1 PATIENTA01 E throat is so sore. Resp	FacilityID1	PATIENTA01		E	throat is so sore.			Resp



# **Data Quality Reports**

- Timeliness
- Completeness
- Validity

# **Validity**

## Are pertinent data elements adhering to standards?

- Targets data elements of interest that have an associated vocabulary (e.g., Administrative Sex)
- Calculates conformance at
  - "record level" (# and percent of records that conform)
  - "visit level" \* (# of visits that conform)
    - Mirrors collapsing rules used in ESSENCE ingestion to yield 1 record per each visit
- Categorizes missing data as nonconforming

<sup>\*</sup>Facilitates assessment of incoming data (and data as appearing in ESSENCE)

## **Example of Validity Reports: Summary**

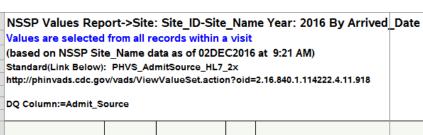
NSSP Values Report->Site: Site\_ID-Site\_Name Year: 2016 By Arrived\_Date

Values are selected from all records within a visit

(based on NSSP Site Name data as of 02DEC2016 at 9:21 AM)

								% Conforming by Feed_Name and Facility					
							\$ALL \$ALL Facilities			Feed_Nam \$ALL Facilities	e001		
		DQ		Use Group			%	%	%	%	%	%	
DQ Column		Standard			Group		Records ▼			Records *		rationts	
Administrative Sex			SyndromicSurveillance		Demograph	nics	99.999%	100.0%		100.0%			
Admission Type			ionType HL7 2x	5	***************************************		80.8%	74.21%		92.873%	96.673%		
Admit Source		PHVS AdmitS	ource HL/ 2x		VisitInfo		80.847%	87.792%		73.398%	95.359%		
Age Calculated				,	Demograph		0.0%	0.0%		0.0%			
Age Reported Age Units Calculated		DUVC Application	SyndromicSurveillance		Demograph Demograph		0.0%	0.0%		0.0%			
Age Units Calculated Age Units Reported			SyndromicSurveillance		Demograph Demograph		98.903%	99.62%		95.66%	97.573%		
Body Mass Index		PRVS Ageomic	Syndronnic surveniance	9		lics	0.0%	0.0%		0.0%	0.0%		
C Chief Complaint				-	CC Diagno	etic	96.816%	98.027%	97.159%	89.84%	94.857%		
C Death		<del> </del>		-	VisitInfo	Suc	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	
C Factype Patient Class					VisitInfo		68.697%	73.196%	74 671	ick or	י חח	Colun	an of
C MFT Patient Class		PHVS Patient(	Class SyndromicSurveill		VisitInfo		100.0%	100.0%	100.0%	100.0%	100.0%	Coldii	III OI
C Patient Age					Demograph	nics	99.935%	99.98%	99.970%	toract	ford	otails	(or select
C Patient Age Units		PHVS AgeUnit	SyndromicSurveillance	_	Demograph		99.94%	99.982%	99.975%	10.0%	TULU	etuiis	(OI SEIECI
C Patient Age Years			•	_	Demograph		99.935%	99.98%	99.971%	nlies	6/2000	orlech	oot)
C Patient Class		PHVS Patient(	lass SyndromicSurveill		VisitInfo		100.0%	100.0%	1000	рпса	DIE W	rorksh	leel)
C Patient County		PHVS County	FIPS 6-4	(	Demograph	nics	47.58%	39.165%	30.299%	88.188%	95.077%	93.938%	
Diagnosis Code		PHVS AdministrativeDiagnosis CDC IC		7	7 CC_Diagno	stic	54.02%	83.211%	76.453%	49.367%	91.2%	89.987%	
Diagnosis Type		PHVS Diagnos	isType HL7 2x V1	7	CC_Diagno	stic	53.364%	82.874%	78.147%	49.367%	90.968%	90.858%	
Diastolic Blood Pressure II	Inite			0	\/itals		52 203%	56.83%	67 721%	0.0%	0.0%	0.0%	
<b>←</b> ► Summary		istrative_Sex	Admission_Type		Source	Age	Calculated	1	ported		Calculated		7

### **Example of Validity Reports: Detail for Data Element**



Feed					Facility		%		%		% C
Name		Facility Name					Records *		Visits 🔻		Patients T
\$ALL	\$ALL	Facilities	"	\$ALL-Total	28	2,889,420	100.0%	505,052	100.0%	251,723	100.0% 1
\$ALL	\$ALL	Facilities	1	\$ALL_Conforming	25	2,336,012	80.847%	443,397	87.792%	227.923	90.545% 1
\$ALL	\$ALL	Facilities	1	01	1	27,677	0.958%	4,479	0.887%	1,643	0.653% 2
\$ALL	\$ALL	Facilities	1	07	1	203	0.007%	46	0.009%	20	0.008% 2
\$ALL	\$ALL	Facilities	1	1	20	1,045,332	36.178%	220,194	43.598%	132,113	52.483% 1
\$ALL	\$ALL	Facilities	1	2	16	117,304	4.06%	13,446	2.662%	7,100	2.821% 1
\$ALL	\$ALL	Facilities	1	4	21	72,871	2.522%	7,147	1.415%	4,601	1.828% 2
\$ALL	\$ALL	Facilities		5	17	57,629	1.994%	9,952	1.97%	6,011	2.388% 0
\$ALL	\$ALL	Facilities	1	6	16	10,353	0.358%	1,008	0.2%	704	0.28% 2
\$ALL	\$ALL	Facilities	1	7	4	413,194	14.3%	94,727	18.756%	29,404	11.681% 0
\$ALL	\$ALL	Facilities			9	8,911	0.308%	1,325	0.262%	607	0.241% 1
\$ALL	\$ALL	Facilities	1	9	23	582,538	20.161%	91,073	18.032%	45,720	18.163% 0
\$ALL	\$ALL	Facilities	2	\$ALL_NonConforming	8	553,408	19.153%	61,655	12.208%	23,800	9.455% 1
\$ALL	\$ALL	Facilities	2	\$Missing	8	542,592	18.779%	59,758	11.832%	22,782	9.05% 2
\$ALL	\$ALL	Facilities	2	105	1	10,354	0.358%	1,808	0.358%	952	0.378% 1
\$ALL	\$ALL	Facilities	2	106	1	15	0.001%	2	0.0%	1	0.0% 0
\$ALL	\$ALL	Facilities	2	D	1	408	0.014%	78	0.015%	61	0.024% 0
\$ALL	\$ALL	Facilities	2	E	1	39	0.001%	9	0.002%	4	0.002% 1

## **Questions?**

For more information, contact CDC 1-800-CDC-INFO (232-4636) TTY: 1-888-232-6348 www.cdc.gov

#### **CONTACT US:**

NSSP Service Desk: <a href="http://support.syndromicsurveillance.org">http://support.syndromicsurveillance.org</a>

#### **LEARN MORE:**

CDC NSSP Website: <a href="https://www.cdc.gov/nssp/index.html">https://www.cdc.gov/nssp/index.html</a>

Syndromic Surveillance Community of Practice Portal:

https://www.syndromicsurveillance.org/

#### **REGISTER FOR NSSP UPDATE NEWSLETTER:**

https://www.cdc.gov/nssp/news.html

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.



# Center for Surveillance, Epidemiology, and Laboratory Services Division of Health Informatics and Surveillance



# Orientation to Data Flow and Data Quality Data Elements

Appendix Slides

# **Calculated Visit Date:** C\_Visit\_Date\_Time C\_Visit\_Date

- Return the earliest date/time from the following segments:
  - Admit Date/Time
  - Discharge Date/Time
  - Procedure Date/Time
  - Patient Death Date/Time
  - Recorded Date/Time of Message
  - Date/Time of Message

Processed Table will store:

- C\_Visit\_Date\_Time (date & time)
- C\_Visit\_Date (date only)

#### **Calculated Facility ID:** *C\_Facility\_ID*

- NSSP data processing stores C\_Facility\_ID as the first non-null and valid value from:
  - Treating\_Facility\_ID
  - Sending\_Facility

C\_Biosense\_Facility\_ID is an agnostic ID internally generated by NSSP.

Given Facility ID for same facility could change over time, this agnostic ID remains static despite Facility ID changes.

#### **Calculated Patient ID:** C\_Unique\_Patient\_ID

- Select first non-null value from
  - Medical Record Number
  - First Patient ID
  - Patient Account Number
  - Visit Number

Inputs into this selection are stored separately, as well.

## **Chief Complaint: Incoming Data**

- Incoming data for Chief Complaint may be
  - Text (TX)
  - Coded With Exceptions (CWE)
- NSSP BioSense Platform will ingest and process both types

# Calculated Patient Age: C\_Patient\_Age C\_Patient\_Age\_Units

- BioSense Platform receives age information inconsistently across messages
- BioSense Platform generates a calculated age (and companion units) by selecting the first non-null option from:
  - Calculated Visit Date Birth Date (PID-7)
     Default units:
     Years (if >= 2 years)
     Months (if < 2 years)</li>
  - Age Reported, including units (OBX-5, OBX-6)
  - Age Calculated, including units (OBX-5, OBX-6)

#### **Calculated Visit Date:**

Returns the earliest date from the following segments:

- Admit Date/Time (PV1.44.1)
- Discharge Date/Time (PV1.45.1)
- Procedure Date/Time (PR1.5.1)
- Patient Death Date/Time (PID.29.1)
- Recorded Date/Time of Message (EVN.7.2)
- Date/Time of Message (MSH.7.1)

#### Calculated "Combo" Fields

 Combo Fields (X\_Combo) concatenate codes with their respective descriptions as sent in a message

Diagnosis_Code	Diagnosis_Description	Diagnosis_Combo
488.8;464	Influenza due to novel influenza A;Acute laryngitis and tracheitis	488.8 Influenza due to novel influenza A;464 Acute laryngitis and tracheitis
488;487	;Influenza	488 ;487 Influenza

#### NSSP Processing Guideline—"repeating segments" (e.g., DG1-3)

Repeating data elements use semicolon (;) as separator when concatenating repeating values.

#### **Patient Class and Calculated Patient Class**

#### Patient\_Class\_Code and C\_Patient\_Class

Reported Patient class (PV1-2)—although useful in analyses and data explorations—may <u>not</u> be present in all message submissions

 During processing, BioSense Platform creates Calculated Patient Class by using the following algorithm:

- 1. Patient Class (PV1-2) if non-NULL
- 2. Look up Inferred Patient Class from Facility Type reported in message if it is valid for syndromic surveillance
- 3. Assign class value based on inferred patient class associated with primary MFT entry

Concept Code	Concept Name	Value
261QE0002X	Emergency Care [Ambulatory Health	Е
	Care Facilities\Clinic/Center]	
1021-5	Inpatient practice setting	I
261QM2500X	Medical Specialty [Ambulatory	0
	Health Care Facilities\Clinic/Center]	
261QP2300X	Primary Care [Ambulatory Health	0
	Care Facilities\Clinic/Center]	
261QU0200X	Urgent Care [Ambulatory Health Care	0
	Facilities\Clinic/Center]	

#### **String Fields**

- Capture date/numeric type information as a string to enhance processing and Data Quality checks
  - Message info is read into a string type field (Str\_XXX)
  - String is then converted to datetime or numeric value
  - If string contains info not valid for that data type, the element is null

Str_Birth_Date_Time	Birth_Date_Time
19860501	1986-05-01 00:00:00:000
Yesterday	
May 1	
May 1, 1986	1986-05-01 00:00:00:000

# Center for Surveillance, Epidemiology, and Laboratory Services Division of Health Informatics and Surveillance



